

PREA AUDIT REPORT Interim Final
ADULT PRISONS & JAILS

Date of report: January 3, 2017

Auditor Information			
Auditor name:	Charles E. Owens (SGT) Hawaii Department of Public Safety		
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Telephone number:	808-266-9591		
Date of facility visit:	August 1-6, 2016		
Facility Information			
Facility name:	Coyote Ridge Corrections Center (CRCC)		
Facility physical address:	1301 N. Ephrata Avenue, Connell, WA 99326		
Facility mailing address:	<i>(if different from above)</i> Click here to enter text.		
Facility telephone number:	(509) 543-5800		
The facility is:	<input type="checkbox"/> Federal	<input checked="" type="checkbox"/> State	<input type="checkbox"/> County
	<input type="checkbox"/> Military	<input type="checkbox"/> Municipal	<input type="checkbox"/> Private for profit
	<input type="checkbox"/> Private not for profit		
Facility type:	<input checked="" type="checkbox"/> Prison	<input type="checkbox"/> Jail	
Name of facility's Chief Executive Officer: Jeffrey A. Uttecht, Superintendent			
Number of staff assigned to the facility in the last 12 months: 420			
Designed facility capacity: 2,528			
Current population of facility: 2,528			
Facility security levels/inmate custody levels: Medium and long term Minimum			
Age range of the population: 18-90			
Name of PREA Compliance Manager: Karina Austin		Title: PREA Compliance Manager	
Email address: Austin, Karina L. (DOC) <klaustin@DOC1.WA.GOV>		Telephone number: 509-544-3638	
Agency Information			
Name of agency: Washington State Department of Corrections			
Governing authority or parent agency: <i>(if applicable)</i> Washington State Governors Office			
Physical address: 7345 Linderson Way SW Turnwater, WA 98501-11			
Mailing address: <i>(if different from above)</i> P.O. Box 41100, Olympia, WA			
Telephone number: 360-725-8213			
Agency Chief Executive Officer			
Name: Dick Morgan		Title: Acting Secretary	
Email address: rlmorgan@doc1.WA.gov		Telephone number: (360) 725-8810	
Agency-Wide PREA Coordinator			
Name: Beth Schubach		Title: Washington PREA Coordinator	
Email address: Schubach, Beth L. <blschubach1@DOC1.WA.GOV>		Telephone number: (360) 725-8789	

AUDIT FINDINGS

NARRATIVE

A PREA Audit was conducted at the Coyote Ridge Corrections Center (CRCC) in the state of Washington, Department of Corrections (WADOC) on August 1, 2016 to August 6, 2016. Charles Owens was the Certified Department of Justice (DOJ) PREA auditor and the support staff consisted of Nicole Alana, Elena Chancheck and Stafford Uemura.

Six weeks prior to the on-site audit, the pre-audit questionnaire and documents were provided for review. Four weeks prior to audit coordination and follow up review of information was completed with department PREA coordinators. Two weeks prior to audit, phone interviews were conducted with WADOC key staff.

Washington State PREA support staff for this audit: Beth Schubach, WADOC PREA Coordinator, Cathy Baker, PREA Coordinator Secretary, Jeffrey Uttecht, Superintendent, and Karina Austin, CRCC PREA Compliance Manager.

On August 01, 2016 a tour for the facility was conducted with the Superintendent and the PREA compliance manager. Upon observations and posing questions the following information was discovered.

CRCC opened in 1992 as a state of the art operation facility with 2,528 beds and 420 full time support staff. The facility sits on approximately 278 acres and designed to hold 2048 inmates in the medium security complex (MSC) and 480 inmates in the minimum security unit (MSU). The facility is well laid out with majority of the areas being covered by approximately 700 video cameras with direct supervision of staff. None of the cameras look into the inmate bathrooms and shower areas.

The PREA coordinator has sufficient amount of PREA pamphlets and fliers in all dorms, living areas, and recreation rooms. Inmate living conditions appears to be exceptional.

Inmates were observed to be respectful to staff and visitors. Employees at CRCC are professional and provide a safe and secure type of environment.

The local Police Department investigates criminal allegations of sexual abuse or sexual assault and appointed departmental staff investigates administrative allegations.

PREA interviews were conducted on-site with the required facility supervisors, administration and support staff, 45 officers and 108 inmates.

The facility has cutting edge technology support for line staff such as video coverage of common area, entrance or exit doors, dining area, and classrooms. Line staff logs all activities with electronic systems for documentation with appropriate access to OMNI for inmate management.

When a PREA incident is reported it is kept strictly confidential. The staff member who takes the initial report speaks to no one other than the Watch Lieutenant. Reports are done immediately and submitted in person to the Watch Lieutenant. CRCC does a great job with the amount of staff and resources as all the staff spoken to were well informed on the protocols in reporting PREA incidents. I was impressed to find the facility so well kept and clean. Both staff and inmates have a vested interest in the facility and it was apparent by the cleanliness of this very large facility.

Staff training was also impressive as most training was done by computer with a tracking system.

DESCRIPTION OF FACILITY CHARACTERISTICS



Coyote Ridge Corrections Center is a medium security prison located in Connell, Washington. Coyote Ridge is comparable to the Monroe Corrections Complex as the largest prison facility in the state. CRCC is a 2,048 bed facility that houses offenders with Medium and Minimum-3 (MI3) custody status. This is a work and program orientated facility that offers many educational, vocational, and self-help programs and Class II and III Correctional Industry work programs. Academic & Partnership Programs

- Adult Basic Education
- Dog Training & Adoption Program
- Inside Outside Dads
- Religious Activities

Research Based Programs

- Moral Reconciliation Therapy (MRT)
- Substance Abuse Treatment

Work & Vocational Programs

- Auto Repair
- Building Maintenance
- Community Work Crews
- Correctional Industries (CI) employs offenders in their Laundry Operation, Textiles Factory, Pillow/Mattress Factory, Food Factory and Pheasant Farm
- Food Service
- Groundkeepers
- HVAC
- Information Technology
- Janitorial
- Job Search
- Motor Pool
- Welding

Inmates at CRCC typically have sentences of five years to life. Attached to the main facility is the minimum-security camp that houses up to 480 inmates. In order to be housed there the inmates have to meet minimum requirements and have five years or less on their sentences. The camp buildings look more like those of an average community college, complete with landscaping. Inmate housing are like dormitories. The camp inmates operate a textile factory, and grow some of their own food in a small garden on CRCC grounds. Some inmates are allowed to go off-site to work in highly supervised jobs (including the traditional litter-cleanup).

Several community work crews employ offenders. Supervised by trained correctional officers these work crews provide services to surrounding communities at a reduced cost. Public agencies and non-profit organizations hire offender crews to maintain properties, buildings, parks, roads, water lines and sewage systems.

The facility was the first prison campus in the United States to achieve LEED Gold certification.



SUMMARY OF AUDIT FINDINGS

The auditor was provided with extensive and lengthy files of documentation prior to the audit, in an effort to support a conclusion of CRCC compliance with DOJ PREA standards. Upon the completion of the on-site PREA audit an out-brief meeting was held with the key CRCC staff.

During the course of the on-site audit staff were found to be courteous, cooperative, and professional. All areas of the facilities toured were found clean and well maintained. The overall audit process was discussed and several corrective action and concerns were addressed.

At the conclusion of the out briefing the auditor thanked the staff for their hard work and commitment to the Prison Rape Elimination Act.

Below were five standards of concern that was addressed by the PREA Auditor for corrective action.

115.15 Limits to cross-gender viewing and searches (Corrected during corrective action period)

1. The showers for handicap inmates allows for full view of anyone walking by.
2. Medical unit holding and observation room, no privacy at toilet area.
3. Gym bathrooms inside and out provide no privacy at toilet area.
4. Observed Female officers in the clean room where inmates strip.
5. Intake bathroom provide no privacy at toilet area in full view of female staff.

115.41 Screening for risk of victimization and abusiveness (Corrected during corrective action period)

1. The intake screening process is inconsistent.
2. Review of records shows screening tools were not always filled out properly.
3. Several screening forms were missing.

115.51 Inmate reporting (Corrected during corrective action period)

1. During the facility tour PREA auditor tested the PREA HOT LINE and left messages. The PREA team did not get a call back and it appeared there was no notification to CRCC was confirmed.
2. A majority of CRCC inmate population report they would not report a PREA situation because of fear of retaliation from staff or inmates.

115.81 Medical and mental health screenings; history of sexual abuse (Corrected during corrective action period)

1. Review of medical records shows it takes approximately 5 months for mental health to follow up on screening and/or seeing referral inmates.
2. Referrals improperly filled out missing critical data and no signature by staff who wrote it.
3. In some case documents were fill out with a review date before the referral date.

115.83 Ongoing medical and mental health care for sexual abuse victims and abusers

(Corrected during corrective action period)

1. Several inmates report request for services with no call in to see mental health staff.
2. Review of records shows numerous medical unit referrals to mental health were not address within 14 days by a counselor or Doctor.

The following are physical plant concerns that need to be addressed.

Blind Spots (Corrected during corrective action period)

1. Clothing issuing area of the camp has one staff and one inmates in this area.
2. CI automotive area
3. Clean all mirrors and or readjust for better coverage of critical areas
4. Supervisors welding shop 2nd floor, this entire area is a concern, recommend its own video camera system for the entire CI shop.

Transportation/transfer bus orientation and PREA video is not always shown.

CRCC did take positive corrective action and addressed the issues above. The PREA auditor was sent acceptable documentation and pictures of corrections made. All documentation is on file.

Special note, of the 43 PREA standards, the Auditor was very impressed where he found three areas that CRCC exceeded the standards.

115.13 SUPERVISION AND MONITORING

CRCC staff are very professional and aware of their environment and surroundings. They are actively monitoring staff, subordinates and inmates through video and physical walk throughs. Because of the positive atmosphere and the leadership skills I see CRCC as exceeding this standard.

115.31 TRAINING

Washington Department of Corrections has one of the best ongoing training for staff that I have ever seen. It was impressive to see all training done at the facility completed in a timely manner by computers. This allowed administration to track recertification and in-service that is automatically logged and updated. The use of so much current technology to provide security and improve the custodies conditions were on point. Because of this level of education for staff, tracking compliance and keeping staff informed with the latest updates, I see CRCC as exceeding this standard.

115.34 SPECIALIZED TRAINING: INVESTIGATIONS

WADOC initiated PREA investigator training in 2011 and updated it in 2013. Every year Corrections Supervisor, must complete the on line PREA 101 trainings as well as the specialized PREA Investigations training and updated booster training for PREA in order to qualify in conducting sexual abuse or assault investigations. The training included techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collections in a confinement setting, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral. Due to the higher level of educating supervisors and investigation staff, I see CRCC as exceeding this standard.

Number of standards exceeded: 3

Number of standards met: 39

Number of standards not met: 0

Number of standards not applicable: 1

Standard 115.11 Zero tolerance of sexual abuse and sexual harassment; PREA Coordinator

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC Policy 490.800, Prison Rape Elimination Act (PREA) outlines the Washington Department of Corrections (WADOC) Agency's zero tolerance policy regarding sexual abuse, sexual harassment and retaliation. The Agency has an upper level, Agency Statewide PREA Coordinator who states they have sufficient time, and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities in the WADOC. The organization chart has the position identified as a Correctional Manager/PREA, and reports to the Assistant Secretary of Prisons Division. CRCC has identified a PREA Compliance Manager with sufficient time and authority to coordinate, develop, implement, and oversee the agency's efforts to comply with the PREA standards at the Facility level. While conducting interviews of staff and offenders, all were aware of the agency's zero tolerance policy. During the tour of the facility the auditor observed posted information throughout the facility regarding the agency's zero tolerance policy regarding sexual abuse, sexual harassment and retaliation.

Standard 115.12 Contracting with other entities for the confinement of inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800 PREA policies, states that any new or renewed contracts for the confinement of offenders will include the requirement that the contracted facility comply with federal PREA standards and allow WADOC to monitor PREA compliance. The policies also state that the department will not enter into contracts with facilities that fail to comply with PREA standards except, in emergent situation. WADOC conducts audits of all county jails that currently contract for the confinement of inmates to ensure compliance with Federal PREA standards. All confinement contracts are reviewed and updated annually. All contract language provided indicated that all contracts with agencies or other entities included language of the entity's obligation to adopt and comply with the PREA standards, and WADOC states they monitor to ensure that the contractor is complying with the PREA standards. An interview with the contract administrator demonstrated they were aware of this requirement and it is in practice.

Standard 115.13 Supervision and monitoring

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800 Policies address this standard. CRCC has demonstrated compliance with all elements of this standard. While touring the facility, the auditor observed unannounced rounds being conducted throughout the facility. Staff acknowledged during interviews that supervisory staff conduct unannounced rounds on a regular basis. A review of logs verified that these rounds occur and are being documented.

Standard 115.14 Youthful inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The Standard is not applicable to CRCC as it DOES NOT house inmates or offenders under the age of 18

Standard 115.15 Limits to cross-gender viewing and searches

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 420.310 and 420.312 Policies address this standard where CRCC demonstrate compliance with all elements of this standard. Requires staff of the opposite gender to announce their presence when entering housing areas. The auditor observed staff announcing themselves prior to entering a housing area.

Standard 115.16 Inmates with disabilities and inmates who are limited English proficient

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 310.000, 450.500, 690,400 and 490.800 Policies address this standard where CRCC demonstrated compliance with all elements of this standard. The WADOC has taken significant steps to ensure that any offender regardless of physical limitation or language barrier have equal access, opportunity and ability to benefit from the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. PREA signs and bulletin are posted in prominent areas throughout the facility that include information in both English and Spanish. Pamphlets are also available for Spanish and/or low functioning offenders. Interpreter services are also available for both deaf and limited English proficient offenders.

Standard 115.17 Hiring and promotion decisions

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 810.800 Policy, Recruitment, Selection and Promotion outlines that a criminal background check will be completed for all promotional candidates and external candidates in the hiring process. CRCC has demonstrated compliance with all elements of this standard. Documentation was provided demonstrating that staff, contractors and volunteers received a background check prior to having contact with inmates. Per policy Human Resources (HR) will review promotional candidates and former department employees on the Offender Management Network and the PREA database for information regarding substantiated allegations of sexual misconduct or any resignation pending investigation of alleged sexual misconduct and provide the review results to the Appointing Authority. The HR staff confirmed that all employees have an affirmative duty to immediately disclose PREA related misconduct through their chain of command and material omissions or materially false information shall be grounds for termination. All new employees, contract staff and volunteers are required to complete a sexual misconduct disclosure form that asks about prior sexual misconduct/harassment.

Standard 115.18 Upgrades to facilities and technologies

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800 Policy addresses this standard and CRCC demonstrated compliance with all elements of this standard. CRCC is considered a new facility with no major improvements needed or additions that have been made to the facility recently. However, it was recommended that VCC cameras be installed in key CI security areas that are not directly monitored by security staff. Management will evaluate priority areas as new equipment is made available.

Standard 115.21 Evidence protocol and forensic medical examinations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.850, 610.025, 600.00 Policies addresses this standard. CRCC demonstrated compliance in all elements of this standard. All staff interviewed could describe what actions would be taken to protect evidence for investigators. CRCC has an agreement with the local Police Department who is the primary investigative agency for criminal allegations. WADOC also has an agreement with the Office of Crime Victims Advocacy (OCVA) to conduct field calls from offenders for advocacy services. OCVA staff will screen the call and transfer the caller to a geographically appropriate victim advocacy organization. A contract with OCVA and WADOC indicates that OCVA will provide services to survivors of sexual abuse by ensuring response to the hospital during a SANE exam, presence during all investigatory interviews and legal proceedings and will provide emotional support, crises intervention, information and referrals. If a report were received that sexual abuse had potentially occurred, the Police Department would be contacted and would be responsible for all evidence collection at the facility. All criminal sexual abuse investigations are referred to the Police Department. Staff has been trained in evidence collection and preservation to maximize the potential of collecting usable evidence, which they were able to articulate during interviews onsite. During tours of the facility posters for OCVA were in living units and other areas and inmates were aware of this service.

Standard 115.22 Policies to ensure referrals of allegations for investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC Policy 490.860 PREA Investigation and 490.850 PREA Response addresses this standard. CRCC demonstrated compliance with all elements of this standard. Provided documentation shows all allegations of sexual abuse and sexual harassment must be investigated and the PREA Coordinator will review all allegations and determine which ones fall within the definition of sexual misconduct, and will forward that allegation to the appropriate appointing authority for investigation. 490.860 Prison Rape Elimination Act (PREA) Investigation outlines the agency requirement to investigate all allegations of sexual abuse and sexual harassment; that all allegations that appear to be criminal will be referred for law enforcement investigation. All allegations are referred for investigation to the appropriate authority per agency memos. WADOC is responsible for administrative PREA investigations and that all felonies will be reported to law enforcement authorities. Local law enforcement agencies are the primary investigators for crimes committed in CRCC. Interviews with staff confirms that they are aware that all allegations must be reported and referred for investigation.

Standard 115.31 Employee training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC Policy 490.800 addresses this standard. CRCC demonstrated compliance with all elements of this standard. The agency requires all staff to complete an online PREA 101 curriculum that outlines the agency’s zero tolerance policy towards sexual abuse and sexual harassment, including; how to prevent, detect, and report incidents of sexual abuse and harassment; inmate rights to be free from sexual abuse and sexual harassment; rights of staff and inmates to be free from retaliation for reporting incidents of sexual abuse and harassment; dynamics of sexual abuse and sexual harassment in a confinement setting; common reaction of sexual abuse and sexual harassment victims; how to avoid inappropriate relationships with offenders, and how to communicate effectively with LGBTI inmates. This online PREA training with annual required testing is a great tool to reinforce requirements to staff. Training records were provided and reviewed by the auditor.

Standard 115.32 Volunteer and contractor training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC Policy 490.800 addresss this standard. CRCC demonstrated compliance with all elements of this standard. The agency requires all contractors, and volunteers to complete an online PREA 101 curriculum that outlines the agency's zero tolerance policy towards sexual abuse and sexual harassment, including; how to prevent, detect, and report incidents of sexual abuse and harassment; inmate rights to be free from sexual abuse and sexual harassment; rights of staff and inmates to be free from retaliation for reporting incidents of sexual abuse and harassment; dynamics of sexual abuse and sexual harassment in a confinement setting; common reaction of sexual abuse and sexual harassment victims; how to avoid inappropriate relationships with offenders, and how to communicate effectively with LGBTI inmates. This online PREA training with annual required testing is a great tool to reinforce requirements to staff. Training records were provided and reviewed by the auditor.

Standard 115.33 Inmate education

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC Policy 490.800 addresses this standard. CRCC demonstrated compliance with all elements of this standard. As part of an orientation, all inmates receive an orientation handbook at intake where they receive PREA training upon arriving at the facility. Posters and materials are posted throughout the facility and in all areas where inmates could congregate. During interviews with inmates, they acknowledged the PREA information being provided and they knew the agency's zero tolerance policy, the difference between sexual abuse and sexual harassment, and how to contact an outside victim services agency as well as their rights to be free from retaliation for reporting such incidents. The PREA bulletin board with Spanish and English PREA posters, related materials and information was updated and they include information explaining WADOC's zero tolerance policy, right to be free from retaliation, and how to report incidents or suspicions of sexual abuse and sexual harassment. WADOC documents that inmates participated in these education sessions, as required by the PREA policy.

Standard 115.34 Specialized training: Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800, 490.860 and 880.100 Policies addresses this standard. CRCC demonstrated compliance with all elements of this standard. WADOC initiated PREA investigator training in 2011 and updated it in 2013. Corrections Supervisor, must completed the on line PREA 101 training as well as the specialized PREA Investigations training and updated booster training for PREA in order to be qualified to conduct investigations. The training included techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collections in a confinement setting, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral.

Standard 115.35 Specialized training: Medical and mental health care

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800, 610,025 and 880.100 Policy addresses this standard, CRCC demonstrated compliance with all elements of this standard. The facility has medical, mental health and dental services that are available on site. All services that may not be provided are provided by local medical community.

Standard 115.41 Screening for risk of victimization and abusiveness

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.820 Policy addresses this standard. CRCC is in compliance with all elements of this standard. WADOC incorporated into their PREA Risk Assessments the requirements for the facility to ensure the initial assessment is completed within 72 hours and additional screening occurs within the 30 days requirements. The auditor was impress with how the agency developed an objective computer management screening tool that considers whether the offender has a mental, physical or developmental disability; age; prior incarceration; criminal history; prior convictions for sex offenses; whether the offender is identified at LGBTI or is other gender non-conforming; prior victimization; and the offenders own perception of vulnerability.

Standard 115.42 Use of screening information

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 300.380, 490.820 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. All housing assignments are made by the Officer based upon the information obtained during the individualized intake assessment. Transgender or intersex inmates are identified, and placement and programming is reassessed at least twice a year to review any threats to inmates safety. Housing decisions for transgender and intersex inmates would be reviewed on a case by case basis. Transgender and intersex inmates would shower separately by a private shower or when no private shower is available, allowing them to shower at a specific time when no other inmates are using the shower.

Standard 115.43 Protective custody

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 300.380, 490.820 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. CRCC have policies in place to clearly outline expectations related to administratively assigning inmates in Segregation because of a risk of victimization. CRCC prohibits the placement of inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made and it has been determined that there are no available alternative means of separation from likely abusers. Inmates placed in segregated housing for protective custody shall have access to programs, privileges, education, and work opportunities to the extent possible; CRCC has a practice to ensure safe housing in general population for the victim, if applicable. CRCC did provide a listing of offenders whose PREA risk assessments indicated risk of sexual victimization and corresponding housing assignments. Staff are monitoring these inmates and recommendations are made when programs changes are warranted.

Standard 115.51 Inmate reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 320.255, 320.260 and 490.820 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. WADOC has made every effort to ensure multiple ways for offenders to report concerns related to sexual abuse, sexual harassment, retaliation, staff neglect or violation of responsibilities. During interviews all inmates were aware of several ways to report sexual abuse, sexual harassment, retaliation, staff neglect or violation of responsibilities. Staff understood their procedure that requires them to report PREA concerns privately. During this audit period, there were 6 inmate PREA letters reports received.

Standard 115.52 Exhaustion of administrative remedies

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.820 and 550.100 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. WADOC does not process PREA related allegations through the internal offender grievance program. All PREA complaints regardless of the reporting source are immediately forwarded to the appointing authority and investigated. While there are no time limits limit in reporting a complaint, internal process require any investigation that has been open for a period of 90 days be reviewed by the agency PREA Coordinator and responsible appointing authority for status and/or issues that need to be addressed. This allows for oversight of the investigation without restricting the investigation.

Standard 115.53 Inmate access to outside confidential support services

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. WADOC has an agreement with the Office of Crime Victims Advocacy (OCVA) to field calls from offenders for advocacy services. OCVA staff will screen the call and transfer the caller to a geographically appropriate victim advocacy organization. The information is available to inmates during the orientation process and WADOC indicates that OCVA will provide services to survivors of sexual abuse by ensuring response to the hospital during a SANE exam, presence during all investigatory interviews and legal proceedings and will provide emotional support, crises intervention, information and referrals. During the tour of the facility posters for OCVA were attached to PREA bulletin boards in all common areas and offices. During interviews all inmates were aware of this service.

Standard 115.54 Third-party reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. There are multiple methods that can be utilized for third party reporting. Agency policy directs that regardless of how a report is received, it will be investigated. WADOC's website has a PREA section that is easily accessible by the general public, family and friends of incarcerated inmates. It describes PREA, how to report Sexual Misconduct, how inmates can report Sexual Misconduct, what to do if someone is being threatened or victimized and how the investigative process works. PREA information is posted prominently throughout the facility on PREA bulletin boards and near the phones describing how to report. Additionally, there are family brochures in both English and Spanish that outline the department's zero tolerance policy with contact information for the PREA Hotline and mailing address.

Standard 115.61 Staff and agency reporting duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 350.550, 490.800 and 490.850 Policies addresses this standard. CRCC demonstrated compliance with all elements of this standard. Staff are trained to report any knowledge, suspicion or information regarding an incident of sexual abuse, sexual harassment that occurred in a correctional facility, regardless if it is part of the agency. WADOC requires all staff that receives PREA information to report directly to the appointing authority or duty officer only. During staff interviews, all were aware that they are prohibited from revealing any information related to a sexual abuse report to anyone other than and to the extent necessary to manage treatment, investigation, and other security decisions.

Standard 115.62 Agency protection duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.820 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. The PREA Risk Assessment Policy requires information from the risk screening to be considered. The PREA Response Policy 490.850 requires immediate action to protect inmates from sexual abuse. The facility complies with the Agency Protection Duties that outlines staff responsibilities related to keeping an inmate safe when it is learned that they may be at substantial risk of imminent sexual abuse. When a staff learns that an inmate is subject to a substantial risk of imminent sexual abuse, the staff member is required to take immediate action to protect the inmate. All staff interviewed knew and could describe what actions they would take if they received information indicating an offender was at imminent risk of sexual abuse.

Standard 115.63 Reporting to other confinement facilities

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.850 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. CRCC immediately takes action and keeps an offender safe, when it is learned that they may be at risk of sexual abuse. Within 72 hours after receiving an allegation of sexual abuse at another facility reports to the other confinement facilities appointing authority or facility administrator are produced. Documentation of the allegation of sexual abuse at another facility is then process to the State PREA Coordinators office for appropriate tracking and follow-up. All key staff interviewed knew and could describe what actions they would take if they received information indicating an offender was at imminent risk of sexual abuse.

Standard 115.64 Staff first responder duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 420.375 and 490.850 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. All staff from the Facility Superintendent to random staff had knowledge of first responder responsibilities that included the separation of the alleged victim and abuser and what steps to take to protect and preserve evidence, maintain confidentiality by only sharing information with those with the direct need to know.

Standard 115.65 Coordinated response

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.850 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. The PREA Response Policy states that each prison, work release, and field office will maintain a PREA Response Plan that provides detailed instructions for responding to allegations of sexual misconduct. The plan coordinates response to an incident of sexual abuse among first responders, supervisors, law enforcement, medical and mental health practitioner, investigators, and facility leadership. The interview with the Appointing Authority, Facility Superintendent, and Supervisors demonstrated their knowledge and the practice which further supported compliance with this standard. The facility practiced drills to test staff on their knowledge and procedures when dealing with this situation.

Standard 115.66 Preservation of ability to protect inmates from contact with abusers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. WADOC collective bargaining agreement for the Washington Federation of State Employees allows the agency to remove alleged staff sexual abusers from contact with inmates pending the outcome of an investigation or of a determination of where and what extent discipline is warranted. PREA Investigations policy 490.860 protects all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff. The policy states that when a PREA investigation is initiated, the appointing authority of the facility where the alleged victim is housed will monitor to assess indicators or reports of retaliation against victims and reporters, and the indicators listed may include, but are not limited to: disciplinary reports, changes in grievance trends, housing/program changes and reassignments, or negative performance reviews.

Standard 115.67 Agency protection against retaliation

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. WADOC collective bargaining agreement for the Washington Federation of State Employees allows the agency to remove alleged staff sexual abusers from contact with inmates pending the outcome of an investigation or of a determination of where and what extent discipline is warranted. PREA Investigations policy protects all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff. The policy states that when a PREA investigation is initiated, the appointing authority of the facility where the alleged victim is housed will monitor to assess indicators or reports of retaliation against victims and reporters, and the indicators listed may include, but are not limited to: disciplinary reports, changes in grievance trends, housing/program changes and reassignments, or negative performance reviews. Policy indicates retaliation monitoring will occur for 90 days following the allegation or longer if the appointing authority determines it's necessary. Interviews were conducted with the

Director, Facility Superintendent, Supervisor regarding the agencies process to protect inmate from staff retaliation. All staff interviewed was able to describe retaliation monitoring and what actions would be taken if indications of retaliation were observed.

Standard 115.68 Post-allegation protective custody

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.820 490.850 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. CRCC provided a log of inmates that were placed in segregation to demonstrate compliance. Prison Rape Elimination Act Response outlines the process that the facility will follow prior to placing an alleged sexual abuse victim in segregation. CRCC is committed to ensuring that all other housing would be considered prior to placing a suspected victim of sexual abuse in segregation for their safety.

Standard 115.71 Criminal and administrative agency investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 400.360, 420.375, 490.800, and 490.860 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. WADOC conducts its own administrative investigations and an external law enforcement agency conducts investigations that are criminal in nature. WADOC Policy on PREA Investigations indicated that investigations WADOC conducts will be done thoroughly, promptly and objectively. All allegations that appear to be criminal in nature are referred to local and/or state law enforcement agencies for criminal investigation per the PREA Investigation policy 490.860 and documented utilizing DOC Form 03-505- Law Enforcement Referral of PREA Allegation. The PREA Prevention and Reporting policy 490.800 states that PREA investigators will receive specialized training. A review of the training curriculum and related policies indicate that all elements required by the standard are met. DOC PREA for Appointing Authorities training curriculum was supplied, which addresses credibility assessments and job aid. All investigative reports are maintained for a period of five years (hard copy) and electronic records are maintained for a period of 50 years.

Standard 115.72 Evidentiary standard for administrative investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. WADOC conducts its own administrative investigations and an external agency conducts investigations that are criminal in nature. WADOC Policy on PREA Investigations indicated that investigations WADOC conducts will be done thoroughly, promptly and objectively. All allegations that appear to be criminal in nature are referred to local and/or state law enforcement agencies for criminal investigation per the PREA Investigation policy 490.860 and documented utilizing DOC Form 03-505- Law Enforcement Referral of PREA Allegation. The PREA Prevention and Reporting policy 490.800 states that PREA investigators will receive specialized training. A review of the training curriculum and related policies indicate that all elements required by the standard are met. DOC PREA for Appointing Authorities training curriculum was supplied, which addresses credibility assessments and job aid. DADOC uses the preponderance standard in determining whether an allegation is substantiated or non-substantiated. All investigative reports are maintained for a period of five years (hard copy) and electronic records are maintained for a period of 50 years. The state's archive records were provided as documentation.

Standard 115.73 Reporting to inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. The PREA Investigations policy 490.860 states that the findings of the investigation will be reported to the offender, WADOC will request investigative reports from outside investigators, if the accused has been indicted on or convicted of a charge related to the sexual abuse, if the employee is no longer assigned to the offender's unit or no longer works at the same facility as the offender. Notifications are provided to offender in a confidential manager, either personally, through legal mail, or by another method determined by the Appointing authority. Notifications are documented on the PREA Investigations Finding Sheet and samples were provided as documentation.

Standard 115.76 Disciplinary sanctions for staff

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.800 and 490.860 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. WADOC requires disciplinary sanctions up to and including termination for sexual abuse or sexual harassment policy violations. Human Resources policies do not specify termination as presumptive discipline in instances of sexual abuse, in accordance with RCW 72.09.225 “Sexual misconduct by state employees, contractors” states in relevant part, “the secretary shall immediately institute proceedings to terminate the employee of any person: (a) who is found by WADOC, based on a preponderance of evidence, to have had sexual intercourse or sexual contact with the inmate, or (b) upon a guilty plea or conviction for any crime specified in chapter 9A.44 RCW when the victim was an inmate”. The PREA Prevention and Reporting policy 490.800 states that WADOC has zero tolerance for all forms of sexual misconduct and WADOC will impose disciplinary sanctions for such conduct, up to and including dismissal for staff.

Standard 115.77 Corrective action for contractors and volunteers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. RCW 72.09.225 directs that when the Secretary has reasonable cause to believe that sexual abuse by a contractor has occurred, the contractor will be immediately removed from any position that would permit contact with inmates. All allegations that are criminal in nature are reported to law enforcement agencies, including contractors and volunteers. WADOC takes appropriate remedial measures and considers all relevant information when determining if further contact with inmates should occur in cases of other violations of the agency’s sexual misconduct policies. WADOC policy on Disciplinary Sanctions 320.150 outlines that offenders are subject to disciplinary actions if they are found in violation are adjudicated as guilty of a misconduct violation. A WAC 137.25.020 indicates that the sanction shall be commensurate with the nature and circumstances the incident and the offender. Any contractor or volunteer that has a substantiated PREA allegation are terminated and flagged in the State Volunteer Share Point site.

Standard 115.78 Disciplinary sanctions for inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 320.150, 460.000 and 490.860 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. WADOC policy on Disciplinary Sanctions 320.150 outlines that offenders are subject to disciplinary actions if they are found in violation are adjudicated as guilty of a misconduct violation. A WAC 137.25.020 indicates that the sanction shall be commensurate with the nature and circumstances the incident and the offender. This process takes into account the inmate's mental disabilities/illness that contributed to his/her behavior when considering why type of sanction, if any, should be imposed. Offenders are disciplined for sexual contact with staff only upon finding that the staff member did not consent to such contact and inmates are not subject to discipline for a report of sexual abuse made in good faith.

Standard 115.81 Medical and mental health screenings; history of sexual abuse

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.850 600.000, 600.025, and 600.10.25 Policies address this standard. CRCC did demonstrated compliance with all elements of this standard. During the audit the Agency reported that they were in the process of updating this policy and forms to document consent. The Agency submitted documentation following the audit that indicated they had several meetings and training sessions where informed consent was discussed. All screening tools cover sexual abuse where inmates are screened by medical and mental health staff upon intake to the facility. The documentation specifically outlines that information regarding information shared in treatment is confidential and will be discussed only with the treatment team except in the following circumstances; if it poses a threat to the safety of the offender or others or if the offender is unable to care for him/herself and/or engage in the acts of sexual misconduct, or have been sexually assaulted or harassed by other inmates or staff. Because the language is specific as to the confidentiality of any information obtained unless it pertains to sexual abuse/harassment involving staff or other offenders (i.e. institutional setting) it is evident that such information will not be shared without consent.

Standard 115.82 Access to emergency medical and mental health services

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 and 630.500 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. The facility has medical and mental health staff on site. If services are not available then every offender has the opportunity to receive medical care at any of the local hospitals in the immediate area. Inmates who report sexual abuse are provided immediate access to medical care provided at no expense to the offender. If a report of sexual abuse is received, staff takes immediate steps to protect the offender and report the information to the appointing authority or duty officer and make immediate notification to the local Police Department. When appropriate, steps are taken to transport the offender to an area hospital who would help in coordinating mental health and advocacy services provided in the community.

Standard 115.83 Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.850 600.000, 600.025, and 600.10.25 Policies address this standard. CRCC did demonstrated compliance with all elements of this standard. Investigative and medical/mental health reports reviewed during the audit reflect that inmates victims may not be receiving medical and mental health care as required. During audit interviews all staff reported that inmates who report being sexually abused are immediately referred to medical and mental health. Medical and mental health staff interviewed all indicated that inmates or victims should be immediately treated and/or evaluated. Treatment and evaluation of inmates appeared consistent with community level of care and included follow-up evaluations, treatment plans and referrals where necessary.

A review of medical records indicated a correction in the timeliness of actions and/or with proper follow-up. This was adequately corrected during corrective action period.

Standard 115.86 Sexual abuse incident reviews

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard where CRCC demonstrated compliance with all elements of this standard. During interviews with the Facility Superintendent and Supervisors, it was clear that they understood that upon conclusion of every sexual abuse investigation that has been substantiated or unsubstantiated, an incident review is required. They were able to describe the review process.

Standard 115.87 Data collection

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. WADOC established a PREA allegation, case and database within its Offender Management Network Information (OMNI) system where the system allows standardized collection data as required by the PREA Standard. All reports are available to the general public via the Agency's website (<http://www.doc.wa.gov/prea.asp>).

Standard 115.88 Data review for corrective action

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 490.860 Policy addresses this standard. CRCC demonstrated compliance with all elements of this standard. WADOC established a PREA allegation, case and database within its Offender Management Network Information (OMNI) system where the system allows standardized collection data as required by the PREA Standard. All reports are available to the general public via the Agency's website (<http://www.doc.wa.gov/prea.asp>). WADOC published on its website a thorough and detailed report and analysis identifying problem areas and corrective action. Additionally, CRCC have produced and published a report with a comparison with previous years' data and an assessment regarding the agency's progress in addressing sexual abuse.

Standard 115.89 Data storage, publication, and destruction

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

WADOC 280.310, 280.515 and 490.860 Policies address this standard. CRCC demonstrated compliance with all elements of this standard. WADOC established a PREA allegation, case and database within its Offender Management Network Information (OMNI) system where the system allows standardized collection data as required by the PREA Standard. All reports are available to the general public via the Agency's website (<http://www.doc.wa.gov/prea.asp>). WADOC published on its website a thorough and detailed report and analysis identifying problem areas and corrective action. Additionally, they have produced and published a report with a comparison with previous years' data and an assessment regarding the agency's progress in addressing sexual abuse. The Agency PREA Coordinator collects data and reports from all facilities and compiles a report annually of investigations of all allegations of sexual abuse and sexual harassment. The Agency Coordinator compiles the report which consists of prevention and response, findings of corrective action and the assessment of the Department's progress in addressing sexual abuse and sexual harassment allegations. This report is compared against previous years. They then submit the report to the WADOC Secretary for their review. Once the review is complete a copy is then placed on the department's website. All data and reports are submitted to the U.S. Department of Justice upon request. The PREA Coordinator maintains electronic records of sexual abuse and

sexual harassment cases pursuant to the state's Records Retention Schedule. All investigation records are retained for five years after the close of the investigation. Excluded are records covered by the Prison Rape Elimination Act which are retained for 50 years after the close of the investigation. This includes incident and investigative reports, evidence cards, photographs, interviews, and other related items.

End of REPORT

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

Charles E Owens

January 6, 2017

Auditor Signature

Date